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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of: Cox et al.

Examiner in immediately preceding  
parent case: Blyveis, D.

Serial No.: unknown

Anticipated Group Art Unit: 3306

Filed: August 11, 1997

For: PERFUSION BALLOON ANGIOPLASTY CATHETER

Docket No.: 33019/138/103

**TRANSMITTAL SHEET**

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of EM020714641US, in an envelope address to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 11th day of August, 1997.

By [Signature]  
Lisa A. Dahline

We are transmitting herewith the attached Patent Application including the following:

- [ ] \_\_\_\_\_ sheet(s) of specification.
- [ ] \_\_\_\_\_ claim(s).
- [ ] \_\_\_\_\_ sheet(s) of Abstract.
- [ ] \_\_\_\_\_ sheet(s) of formal/informal drawings.
- [ ] Executed/unexecuted Declaration and Power of Attorney.
- [ ] A verified statement(s) to establish small entity status under 37 C.F.R. 1.9 and/or 1.27 is enclosed.
- [ ] An Assignment of the invention to \_\_\_\_\_ is being filed contemporaneous with this patent application.
- [ ] A certified copy of a \_\_\_\_\_ application, serial no. \_\_\_\_\_, filed \_\_\_\_\_, 19\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. 119.

CLAIMS AS FILED						
	(1)	(2)	SMALL ENTITY		OTHER	
FOR:	# FILED	# EXTRA	Rate	Fee	Rate	Fee
BASIC FEE				\$385		\$770
TOTAL CLAIMS	12-20 =		x11=	\$	x22=	\$
INDEPENDENT CLAIMS	4 -3 =	1	x40=	\$	x80=	\$80
( ) MULTIPLE DEPENDENT CLAIM PRESENTED			+130=	\$	+260=	\$
TOTAL			\$		\$850.00	

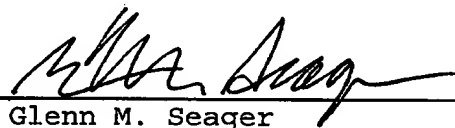
\*If the difference in Column (1) is less than zero, enter "0" in Column 2.

[XXXX] Other File Wrapper Continuing Application (FWC) under 37 CFR \$1.62

[XXXX] A check in the amount of \$ 850.00 is enclosed.

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 14-0620.

By:

  
Glenn M. Seager

Reg. No. 36,926

Glenn M. Seager  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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For: PERFUSION BALLOON ANGIOPLASTY CATHETER

FILE WRAPPER CONTINUING APPLICATION (FWC) 37 CFR 1.62)

Box FWC  
Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

I. This is a request for a filing under the file wrapper  
continuing application procedure, 37 CFR 1.62, for a continuation  
of prior complete application Serial No. 08/612,061, filed  
on March 7, 1996.

1. Title, as originally filed, PERFUSION BALLOON  
ANGIOPLASTY CATHETER, and as last amended, \_\_\_\_\_

CERTIFICATE OF MAILING (37 CFR 1.10)

I hereby certify that this FWC transmittal and the documents referred to as being  
attached therein are being deposited with the United States Postal Service on this  
date August 11, 1997 in an envelope as "Express Mail, Post Office to Addressee"  
mailing Label Number EM020714641US addressed to the: Commissioner of Patents and  
Trademarks, Box FWC, Washington, D.C. 20231.

Date:

August 11, 1997

Lisa A. Dahling  
(Type or print name of person mailing paper)  
[Signature]  
(Signature of person mailing paper)

2. Name of applicants (as originally filed and as last amended) and current correspondence address of applicant:

Full name of sole or first inventor James E. Cox

Residence Plymouth, Minnesota

Citizenship U.S.A.

Post Office Address 15820 Ninth Avenue North

Plymouth, Minnesota 55447

Full name of second or joint inventor Richard G. Cornelius

Residence Wayzata, Minnesota

Citizenship U.S.A.

Post Office Address 3163 Lafayette Ridge Road

Wayzata, Minnesota 55391

Full name of third or joint inventor Tracee E.J. Eidenschink

Residence Wayzata, Minnesota

Citizenship U.S.A.

Post Office Address 2232 Pinto Drive

Wayzata, Minnesota 55391

Full name of fourth or joint inventor Gregory A. Boldenow

Residence Crystal, Minnesota

Citizenship U.S.A.

Post Office Address 6809 Valley Place North

Crystal, Minnesota 55427

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#### IV. Identification of Claims for Further Prosecution

The fees to be charged are to be based on the number of claims remaining as a result of the:

the unentered amendment filed under 37 CFR §1.116 in the prior application, which is now repeated.

#### V. Fee Calculation

CLAIMS FOR FEE CALCULATION			
Number Filed	Number Extra	Rate	Basic Fee \$770.00
Total Claims    12-20=	0            X	\$ 22.00	0
Independent Claims    4-3=	1            X	\$ 80.00	\$80.00
Multiple dependent claims, if any		\$260.00	0
— The fee for extra claims is not being paid at this time.			\$850.00
Filing fee calculation			

#### VI. Method of Payment of Fees

Attached is a check in the amount of \$ 850.00 .

#### VII. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No. 14-0620.

**VIII. Relate Back - 35 U.S.C. 120**

Amend the specification at page 1, line 2, by inserting the following:

--This application is a continuation of application Serial No. 08/612,061, filed March 7, 1996, which is a continuation-in-part of application Serial No. 08/441,618, filed May 15, 1995, which in turn is a continuation-in-part of application Serial No. 08/204,733, filed March 2, 1994, now abandoned.--

**IX. Power of Attorney**

The power of attorney in the prior application is to:

Wayne A. Sivertson, Reg. No. 25,645  
Lawrence M. Nawrocki, Reg. No. 29,333  
John L. Rooney, Reg. No. 28,898  
Donald A. Jacobson, Reg. No. 22,308  
David M. Crompton, Reg. No. 36,772  
Glenn M. Seager, Reg. No. 36,926  
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Address all future communications to:

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Tel: (612) 331-1464

**X. Abandonment of Prior Application**

Please abandon the prior application at a time while the prior application is pending and when this application is granted a filing date so as to make this application copending with said prior application. At the same time, please add the words "now

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abandoned" to the amendment to the specification set forth in VIII above.

**XI. Assignment**

The prior application is assigned to SciMed Life Systems, Inc. as recorded in the Patent and Trademark Office on Reel 8049, Frame 0608.

Date

August 11, 1997 Glenn M. Seager

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